

2633

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	09/651,140
		Filing Date	August 30, 2000
		First Named Inventor	Wenbin Jiang
		Group Art Unit	2633
		Examiner Name	Unassigned
Total Number of Pages in This Submission	7	Attorney Docket Number	3918P002XX1

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ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <ul style="list-style-type: none"> <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William E. Alford, Reg. No. 37,764 <i>BLAKEY SOKOLOFF, TAYLOR & ZAFMAN LLP</i>
Signature	
Date	May 10, 2002

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

May 10, 2002

Typed or printed name	Susan McFarlane		
Signature		Date	May 10, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEES TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\\$)

Complete If Known

Application Number	09/651,140
Filing Date	August 30, 2000
First Named Inventor	Wenbin Jiang
Examiner Name	Unassigned
Group/Art Unit	2633
Attorney Docket No.	3918p002xx1

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METHOD OF PAYMENT (check one)

Check Credit card Money Order Other None
 Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of the application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEES CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee
SUBTOTAL (1)		(\$)		

2. EXTRA CLAIM FEES

Total Claims	36	**	=	Extra Claims	X	Fee from below	=	Fee Paid
Independent Claims	5		=		X		=	
Multiple Dependent								

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$)			

**or number previously paid, if greater. For Reissues, see below

3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130		205	65	Surcharge - late filing fee or oath	
	127	50		227	25	Surcharge - late provisional filing fee or cover sheet	
	139	130		139	130	Non-English specification	
	147	2,520		147	2,520	For filing a request for ex parte reexamination	
	112	920 *		112	920 *	* Requesting publication of SIR prior to Examiner action	
	113	1,840 *		113	1,840 *	* Requesting publication of SIR after Examiner action	
	115	110		215	55	Extension for reply within first month	
	116	400		216	200	Extension for reply within second month	
	117	920		217	460	Extension for reply within third month	
	118	1,440		218	720	Extension for reply within fourth month	
	128	1,960		228	980	Extension for reply within fifth month	
	119	320		219	160	Notice of Appeal	
	120	320		220	160	Filing a brief in support of an appeal	
	121	280		221	140	Request for oral hearing	
	138	1,510		138	1,510	Petition to institute a public use proceeding	
	140	110		240	55	Petition to revive - unavoidable	
	141	1,280		241	640	Petition to revive - unintentional	
	142	1,280		242	640	Utility issue fee (or reissue)	
	143	460		243	230	Design issue fee	
	144	620		244	310	Plant issue fee	
	122	130		122	130	Petitions to the Commissioner	
	123	50		123	50	Processing fee under 37 CFR 1.17(q)	
	126	180		126	180	Submission of Information Disclosure Stmt	
	581	40		581	40	Recording each patent assignment per property (times number of properties)	
	146	740		246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
	149	740		249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
	179	740		279	370	Request for Continued Examination (RCE)	
	169	900		169	900	Request for expedited examination of a design application	
Other fee (specify)							
SUBTOTAL (3)		(\$)					

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Name (Print/Type)	William E. Word	Registration No. (Attorney/Agent)	37,764	Telephone	(714) 557-3800
Signature		Date	05/10/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

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#10
P. DeLoach
06/01/02

Our Docket No.: 003918.P002XX1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Edwin Dair

Application No.: 09/651,140

Filed: August 30, 2000

For: METHOD AND APPARATUS FOR
PARALLEL OPTICAL
INTERCONNECTION OF FIBER
OPTIC TRANSMITTERS,
RECEIVERS AND TRANSCEIVERS

Examiner: Unassigned

Art Group: 2633

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MAY 28 2002

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants in accordance with their duty of disclosure under 37 CFR §1.56 and in accordance with 37 CFR §1.97(c) (2), submit the enclosed PTO-1449 Forms. Pursuant to 37 CFR 1.98(d), copies of the patents, publications and other information listed on the enclosed Form PTO-1449 are not included herewith. Copies of these references can be found in prior U.S. Patent Applications upon which this application claims the benefit thereof.

Pursuant to 35 USC 120, the above referenced U.S. Patent Application claims the benefit of and is a continuation-in-part of Application No. 09/321,308, filed May 27, 1999 and Application

No. 09/320,409, filed May 26, 1999, now issued as U.S. Patent 6,213,651.

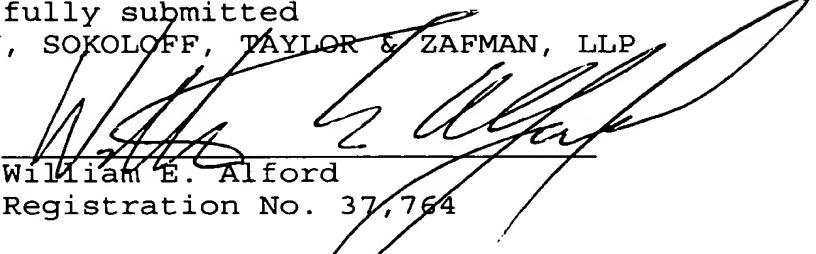
Pursuant to 37 CFR 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

This Information Disclosure Statement is being filed prior to a first office action or notice of allowance. Pursuant to 37 CFR §1.97(b), no fee is required for the filing of this Information Disclosure Statement.

Applicant respectfully requests that the cited documents be considered and that the enclosed PTO-1449 forms be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicant.

Respectfully submitted
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

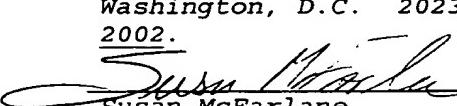
Dated: May 10, 2002


William E. Alford
Registration No. 37,764

CERTIFICATE OF MAILING

12400 Wilshire Boulevard,
Seventh Floor
Los Angeles, California 90025
(714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: May 10, 2002.


Susan McFarlane

5/10/02
Date